



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67643

George ZARRIS, et al.

Appln. No.: 10/022,896

Group Art Unit: 3663

Confirmation No.: 2077

Examiner: Nelson MOSKOWITZ

Filed: December 20, 2001

For: BROADBAND RAMAN AMPLIFIER

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
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Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

Allowance, or an action that otherwise closes prosecution in the application (whichever is

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earlier), and therefore please charge to Deposit Account No. 19-4880 the fee of \$180.00 under 37 C.F.R. § 1.17(p).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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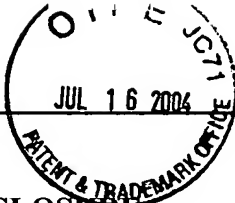
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| Substitute for Form 1449 A & B/PTO | | | | Complete if Known | |
| | | | | Application Number | 10/022,896 |
| <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> | | | | Confirmation Number | 2077 |
| | | | | Filing Date | December 20, 2001 |
| (use as many sheets as necessary) | | | | First Named Inventor | George ZARRIS |
| | | | | Art Unit | 3663 |
| Sheet 1 of 1 | | | | Examiner Name | Nelson MOSKOWITZ |
| | | | | Attorney Docket Number | Q67643 |

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| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
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| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|--|--------------------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
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